

	<b>PERFORMANCE MANAGEMENT POLICY</b>	REF:	CPOL18
		ISSUE:	01
		DATE:	01.06.2025

## 1. Policy Statement

This policy should be used when an employee's performance has been identified as falling below an acceptable level. Its purpose is to provide a framework for resolving the issue, ideally through the improvement of the employee's performance. As a last resort, the policy specifies the circumstances in which the employee may be redeployed to more suitable work or dismissed on the ground of capability.

Before this procedure is engaged, the employee should receive feedback from their manager setting out the concerns about the employee's performance and how their performance can be improved. This procedure is designed to be used when such informal discussions do not lead to the employee improving their performance to an acceptable level.

Where an employee's poor performance is believed to be the result of deliberate negligence, or where serious errors have been made by them to the detriment of the Company, the Company may decide to use its Disciplinary Procedure instead.

A written record of all meetings conducted under this procedure will be made, either by the person holding the meeting or by an additional person arranged by the Company to take notes. The Company processes any personal data collected during the Performance Improvement Procedure in accordance with its Data Protection Policy. Any data collected is held securely and accessed by, and disclosed to, individuals only for the purposes of completing the performance improvement procedure. Inappropriate access or disclosure of employee data constitutes a data breach and should be reported in accordance with the Company's Data Protection Policy immediately. It may also constitute a disciplinary offence, which will be dealt with under the Company's Disciplinary Procedure.

## 2. Scope

This policy covers all employees who have passed their probationary period at Catholic Protection Co Ltd.

This policy does not form part of employees' terms and conditions of employment and may be subject to change at the discretion of the management.

## 3. Performance Improvement Procedure

### a. Stage 1

The employee's manager will inform them of the nature of the problem and confirm this in writing. The employee will be invited to an informal meeting to discuss concerns regarding their performance. The meeting will be conducted by the employee's manager.

- Following discussion of the problem, the manager may choose to:
- Take no further action;
- Refer the matter for investigation under the Company's Disciplinary Procedure; or
- Issue guidance to the employee on what they need to do to improve their performance.

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## **b. Stage 2**

Where stage 1 does not lead to a satisfactory improvement in the employee's performance, the employee will be invited to a Performance Review Meeting.

The purpose of a Performance Review Meeting is to discuss the employee's performance and decide what measures should be taken, with a view to securing the required improvement in the employee's performance. The meeting will be conducted by the employee's manager. Where it is considered appropriate, a member of the HR Team may also be present.

The employee will be given an opportunity to respond to any criticisms of their performance and to put forward any explanation they may have for the matters identified by the manager as amounting to poor performance.

The outcome of the meeting may be:

- A decision to take no further action;
- A decision to refer the matter for investigation under the Company's Disciplinary Procedure; or
- The implementation of a Performance Improvement Programme, designed to bring the employee's performance up to an acceptable level.

## **4. Performance Improvement Programme**

A Performance Improvement Programme is a series of measures designed to help improve the employee's performance. Each measure will ideally be agreed with the employee, although the Company reserves the right to insist on any aspect of the Performance Improvement Programme in the absence of such agreement.

Each programme will be tailored to the particular situation, but will contain the following elements:

### **a. Timescale**

The overall timescale in which the necessary improvement must be achieved will be set out, together with the timescale for reaching individual milestones where appropriate.

### **b. Targets**

The Performance Improvement Programme will specify the particular areas in which improved performance is needed and set out how, and on what criteria, the employee's performance will be assessed. Where appropriate, specific targets will be set that will need to be achieved either by the end of the programme or at identifiable stages within it.

### **c. Measures**

The Performance Improvement Programme will specify what measures will be taken by the Company to support the employee in improving their performance. Such measures may include: training; additional supervision; the reallocation of other duties; or the provision of additional support from colleagues.

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#### **d. Feedback**

As part of the Performance Improvement Programme, the employee will be given regular feedback from their manager indicating the extent to which the employee is on track to deliver the improvements set out in the programme.

If, at any stage, the Company feels that the Performance Improvement Programme is not progressing in a satisfactory way, a further meeting may be held with the employee to discuss the issue. As a result of such a meeting, the employer may amend or extend any part of the programme.

#### **e. Review**

At the end of the Performance Improvement Programme, the employee's performance will be reviewed. If satisfactory progress has been made, the employee will be notified of this fact in writing. However, if the manager feels that progress has been insufficient, they may decide to extend and/or amend the Performance Improvement Programme to such extent as the manager considers appropriate. Alternatively, they may decide to refer the matter to a meeting under stage 3 of this procedure.

#### **f. Ongoing review**

Following the successful completion of a Performance Improvement Programme, the employee's performance will continue to be monitored. If, at any stage during the following 12 months, the employee's performance again starts to fall short of an acceptable standard, their manager may decide to initiate stage 3 of this procedure.

### **5. Stage 3**

If the Performance Improvement Programme has not led to sufficient improvement in the employee's performance, the employee will be invited to attend a Formal Performance Management Meeting. The invitation will set out the respects in which the employee's manager believes that the employee's performance still falls short of an acceptable standard.

The meeting will be conducted by the manager and, in some cases a member of the HR Department will also be present. The employee will be entitled to be accompanied by a fellow employee or a trade union official.

At the meeting, the employee will be given an opportunity to respond to any criticism of their performance and to make representations about any aspect of the way in which the process has been managed.

The outcome of the meeting may be a decision to:

- Take no further action;
- Refer the matter for investigation under the Disciplinary Procedure;
- Institute another Performance Improvement Programme; or
- Issue a Formal Warning to the employee.

A Formal Warning will be issued if the meeting concludes that reasonable steps have been taken by the Company that should have allowed the employee to perform to an acceptable

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standard, but that these measures have not worked. The warning will explain the nature of the improvement that is required in the employee's performance and state that the improvement must be immediate and sustained. It will also explain that, if the necessary improvement does not take place, the employee may be dismissed.

The warning will remain current for a period of 12 months, after which it will cease to have effect.

Where an employee is issued with a Formal Warning in accordance with this procedure, they will have a right of appeal.

## 6. Stage 4

If an employee has been issued with a warning under stage 3 that remains live and the employee's manager believes that their performance is still not acceptable, the matter may be referred to a Performance Dismissal Meeting.

The employee will be informed in writing of the grounds on which the meeting is being convened. In particular, they will be told of the respects in which their performance remains below an acceptable level.

The meeting will be conducted by a senior manager authorised to make dismissal decisions and may be accompanied by a member of HR Team. The employee will be entitled to be accompanied by a fellow employee or trade union official.

At the meeting, the employee will have the opportunity to respond to any criticisms made of their performance and make representations about how the situation should be treated.

The outcome of the meeting may be:

- A decision to take no further action;
- The issuing of another Performance Management Warning;
- An offer to redeploy the employee to alternative work; or
- A decision to dismiss the employee.

Any offer to redeploy the employee will be entirely at the Company's discretion. Such an offer will be made only where the Company is confident that the employee will be able to perform well in the redeployed role. It will normally be offered only as an alternative to dismissal in circumstances in which the Company is satisfied that the employee should no longer be allowed to continue to work in their current role. While the employee is free to refuse any offer of redeployment, the only alternative available will usually be dismissal.

If the Company believes that there is no alternative role available and suitable for the employee, but that they have not met an acceptable standard of performance, the Company may decide to dismiss. Any dismissal will be with full notice or payment in lieu of notice. The decision to dismiss together with the reasons for dismissal will be set out in writing and sent to the employee.

Where an employee is dismissed in accordance with this procedure, they will have a right of appeal.

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## 7. Recording of Meetings

The employee, or any person acting on their behalf, is not normally permitted to record electronically any meeting held by the Company as part of the Performance Management Process. This is to encourage openness and full participation by all parties during meetings. Any breach of this provision may lead to disciplinary action against the employee, up to and including dismissal.

In certain limited circumstances, the Company may permit the meeting to be recorded electronically. For example where the employee is disabled, it may be appropriate as a reasonable adjustment under the Equality Act 2010. Where the Company permits the meeting to be recorded electronically, it will take responsibility for making the recording.

## 8. Role of the Companion

The employee's chosen companion has the right to address the meeting to put the employee's case, sum up the case and respond on the employee's behalf to any view expressed at the meeting. The companion may also confer with the employee during the meeting. However, there is no requirement for the Company to permit the companion to answer questions on behalf of the employee, or to address the meeting where the employee indicates that they do not wish this.

## 9. Appeal

An employee has a right of appeal against a sanction issued under stages 3 or 4 of this procedure. A request for an appeal should be sent in writing to the HR Department and set out the grounds on which the employee believes that the decision was flawed or unfair. The request should be sent within five days of the employee receiving written confirmation of the sanction imposed on them by the Company.

An Appeal Meeting will be convened to consider the matter. Wherever possible, it will be chaired by a more senior manager than the manager who conducted the original meeting and possibly a member of the HR Department. The employee will be entitled to be accompanied by a fellow employee or a trade union official.

At the meeting, the decision to impose the sanction will be reviewed and the employee will be entitled to make representations about the appropriateness of that decision.

The result of the meeting will be either to confirm the sanction, or substitute any outcome that was available to the panel conducting the meeting at which the sanction was imposed on the employee.

The outcome of the appeal will be confirmed to the employee in writing, explaining the grounds on which the decision was reached. The outcome of the appeal will be final.

**Name:** Chris Martin

**Position:** Managing Director

**Date:** 01.06.2025